



013004

02570 U.S. PTO

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	1800
First Inventor	Paul Alan Stephens
Title	Soybean Variety XB19U04
Express Mail Label No.	EL 870385579 US

22278 U.S. PTO
10/768489

013004

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **52**]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets **3**]
5. Oath or Declaration [Total Sheets **3**]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____ / _____

Prior application information: Examiner _____

Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number

27310

OR ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Steven Callistein

Registration No. (Attorney/Agent)

43,525

Signature

Date

January 30, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Certificate of Mailing under 37 CFR 1.10


Express Mailing Label Number EL 870385579 US

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as "Express Mail Post Office to Addressee" Service in an envelope addressed to:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on January 30, 2004 .

1. Certificate of Mailing -1 page
2. Utility Patent Application Transmittal - 1 page
3. Fee Transmittal Form - 1 page
4. Application Data Sheet - 3 page
5. Specification - 52pages
6. Declaration - 3 pages
7. PTO 1595 - 1 page
8. Assignment- 2 pages



Signature

Dave Davis

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 770

Complete if Known

Application Number
Filing Date
First Named Inventor Paul Alan Stephens
Examiner Name
Art Unit
Attorney Docket No. 1800

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																											
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None Order				3. ADDITIONAL FEES																																																											
<input checked="" type="checkbox"/> Deposit Account:																																																															
Deposit Account Number 16-1852																																																															
Deposit Account Name Pioneer Hi-Bred International, Inc.																																																															
The Director is authorized to: (check all that apply)																																																															
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments																																																															
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application																																																															
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.																																																															
FEE CALCULATION																																																															
1. BASIC FILING FEE																																																															
<table border="1"><thead><tr><th>Large Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1001</td><td>770</td><td></td><td>2001</td><td>385</td><td></td><td>Utility filing fee</td><td>770.00</td></tr><tr><td>1002</td><td>340</td><td></td><td>2002</td><td>170</td><td></td><td>Design filing fee</td><td></td></tr><tr><td>1003</td><td>530</td><td></td><td>2003</td><td>265</td><td></td><td>Plant filing fee</td><td></td></tr><tr><td>1004</td><td>770</td><td></td><td>2004</td><td>385</td><td></td><td>Reissue filing fee</td><td></td></tr><tr><td>1005</td><td>160</td><td></td><td>2005</td><td>80</td><td></td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="7">SUBTOTAL (1)</td><td>(\$ 770)</td></tr></tbody></table>				Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	1001	770		2001	385		Utility filing fee	770.00	1002	340		2002	170		Design filing fee		1003	530		2003	265		Plant filing fee		1004	770		2004	385		Reissue filing fee		1005	160		2005	80		Provisional filing fee		SUBTOTAL (1)							(\$ 770)				
Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																								
1001	770		2001	385		Utility filing fee	770.00																																																								
1002	340		2002	170		Design filing fee																																																									
1003	530		2003	265		Plant filing fee																																																									
1004	770		2004	385		Reissue filing fee																																																									
1005	160		2005	80		Provisional filing fee																																																									
SUBTOTAL (1)							(\$ 770)																																																								
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE																																																															
Total Claims 8 -20 ** = 0 X 18 = 0																																																															
Independent Claims 1 -3 ** = 0 X 0 = 0																																																															
Multiple Dependent X = 0																																																															
<table border="1"><thead><tr><th>Large Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1202</td><td>18</td><td></td><td>2202</td><td>9</td><td></td><td>Claims in excess of 20</td><td></td></tr><tr><td>1201</td><td>86</td><td></td><td>2201</td><td>43</td><td></td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>1203</td><td>290</td><td></td><td>2203</td><td>145</td><td></td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>1204</td><td>86</td><td></td><td>2204</td><td>43</td><td></td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td>1205</td><td>18</td><td></td><td>2205</td><td>9</td><td></td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="7">SUBTOTAL (2)</td><td>(\$ 0)</td></tr></tbody></table>				Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	1202	18		2202	9		Claims in excess of 20		1201	86		2201	43		Independent claims in excess of 3		1203	290		2203	145		Multiple dependent claim, if not paid		1204	86		2204	43		** Reissue independent claims over original patent		1205	18		2205	9		** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)							(\$ 0)				
Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																								
1202	18		2202	9		Claims in excess of 20																																																									
1201	86		2201	43		Independent claims in excess of 3																																																									
1203	290		2203	145		Multiple dependent claim, if not paid																																																									
1204	86		2204	43		** Reissue independent claims over original patent																																																									
1205	18		2205	9		** Reissue claims in excess of 20 and over original patent																																																									
SUBTOTAL (2)							(\$ 0)																																																								
*or number previously paid, if greater; For Reissues, see above																																																															
				Other fee (specify) _____																																																											
				*Reduced by Basic Filing Fee Paid																																																											
				SUBTOTAL (3) (\$ 0)																																																											

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Steven Callistein	Registration No. (Attorney/Agent)	43,525	Telephone	(515) 254-2823
Signature		Date	January 30, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.